



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

100

| | |
|------------------------|-----------------------|
| Application Number | 09/812,703 |
| Filing Date | March 19, 2001 |
| First Named Inventor | Terrance Moore et al. |
| Art Unit | 3626 |
| Examiner Name | Frenel, Vanel |
| Attorney Docket Number | 044258.0002 |

ENCLOSURES (Check all that apply)

| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | 1. Fee Transmittal, check \$180; |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | 2. Supplemental Information Disclosure Statement After Final Office Action and Notice of Appeal; and |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | 3. Postcard. |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|--------------------------|----------|--------|
| Firm Name | Bracewell & Giuliani LLP | | |
| Signature | | | |
| Printed name | Jeffrey S. Whittle | | |
| Date | 8-30-06 | Reg. No. | 36,382 |

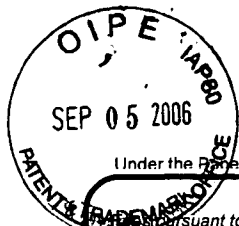
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|-----------|------|-----------|
| Signature | | | |
| Typed or printed name | Dora Rios | Date | 8-30-2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

180

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | 09/812,703 |
| Filing Date | March 19, 2001 |
| First Named Inventor | Terrance Moore et al. |
| Examiner Name | Frenel, Vanel |
| Art Unit | 3626 |
| Attorney Docket No. | 044258.0002 |

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 500259 Deposit Account Name: Bracewell & Giuliani LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | 0 |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | 0 |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | 0 |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | 0 |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | 0 |

2. EXCESS CLAIM FEESFee Description

| Fee (\$) | Small Entity Fee (\$) |
|--|-----------------------|
| Each claim over 20 (including Reissues) | 50 |
| Each independent claim over 3 (including Reissues) | 200 |
| Multiple dependent claims | 360 |

| | | | |
|--------------|--------------|----------|---------------|
| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
| - 20 or HP = | x | = | |

HP = highest number of total claims paid for, if greater than 20.

| | | | |
|---------------|--------------|----------|---------------|
| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
| - 3 or HP = | x | = | |

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| | | | | |
|--------------|--------------|--|----------|---------------|
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
| - 100 = | / 50 = | (round up to a whole number) x | = | 0 |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

0

Other (e.g., late filing surcharge) Supplemental IDS After Final Office Action and Notice of Appeal

180

SUBMITTED BY

| | | | |
|-------------------|--------------------|--|------------------------|
| Signature | | Registration No. (Attorney/Agent) 36,382 | Telephone 713-221-1185 |
| Name (Print/Type) | Jeffrey S. Whittle | Date | 8-30-06 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



AF/SG

CERTIFICATE OF MAILING 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on the date indicated below.

August 30, 2006

Dora Rios

Date

Dora Rios

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--|---|-----------------------------------|
| In re Patent Application of: |) | |
| Terrance Moore, <i>et al.</i> |) | Confirmation No. 9723 |
| |) | |
| Serial No.: 09/812,703 |) | Examiner: Frenel, Vanel |
| |) | |
| Filed: March 19, 2001 |) | Group Art Unit: 3626 |
| |) | |
| For: <i>Methods For Collecting Fees For</i> |) | Attorney Docket No. 044258.000002 |
| <i>Healthcare Management Group</i> |) | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
AFTER FINAL OFFICE ACTION, AND NOTICE OF APPEAL

Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. A copy of each non-patent reference is also enclosed.

It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the M.P.E.P. Each item of information contained in the information disclosure statement was first cited in a communication in a related application not more than three months prior to the filing of this supplemental information disclosure statement. No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification

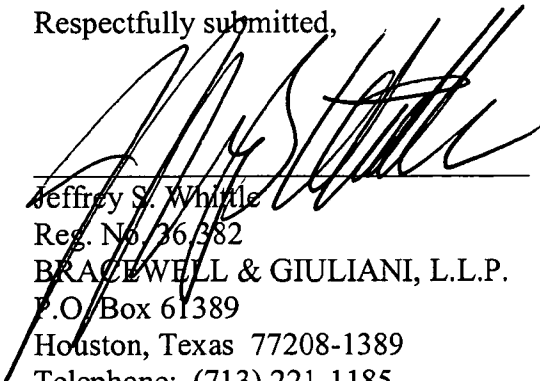
after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any of the individuals designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

Applicants submit herewith the required fee of \$180. The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to the deposit account of Bracewell & Giuliani LLP, Deposit Account No. 50-0259, Attorney Docket No. 044258.000002.

Respectfully submitted,

Date: _____

8-30-06



Jeffrey S. Whittle
Reg. No. 36,382
BRACEWELL & GIULIANI, L.L.P.
P.O. Box 61389
Houston, Texas 77208-1389
Telephone: (713) 221-1185
Facsimile: (713) 221-2141



| | | | | | |
|---|---|-------------------------------|--------------------------------|--|--|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | Complete if Known | | | |
| | | Application Number | 09/812,703 | | |
| | | Filing Date | March 19, 2001 | | |
| | | First Named Inventor | Terrance Moore et al. | | |
| | | Art Unit | 3626 | | |
| | | Examiner Name | Vanel Frenel | | |
| Sheet | 1 Of 1 | Attorney Docket Number | 044258.000002 | | |
| U.S. PATENT DOCUMENTS | | | | | |
| Exr Initials* | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages Or Relevant Figures Appear |
| | Number- Kind Code (if known) | | | | |
| | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | |
| Exr Initials | Foreign Patent Document | | | Date of Publication of Cited Document MM-YYYY | T |
| | Country Code | Number | Kind Code (if known) | | |
| | | N/A | | | |
| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | | |
| Exr Initials /VF/ | Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published. | | | | T |
| /VF/ | Cherney, Choosing an Advantageous Risk-Sharing Arrangement, March 1999, Healthcare Financial Management, pp. 35-37. | | | | Y |
| /VF/ | Rosenstein et al., Changing Physician Behavior is Tool to Reduce Health Care Costs, September 1991, Healthcare Strategic Management, Vol. 9, Iss. 9, pp. 14-16. | | | | Y |
| /VF/ | Snail, The Effects of Hospital Contracting for Physician Services on Hospital Performance, Spring 2000, University of California, Berkley, pp. 1-182. | | | | Y |
| Examiner Signature | /Vanel Frenel/ | | | Date Considered | 04/24/2008 |